AUDIT RESPONSE & v4.0 DIFFERENTIATION SPECIFICATION

INSTITUTION-DEFINING EDITION · COMPANION ARTEFACT · 2026

From 8.8/10 to 10/10

How the 20-Volume Sovereign Doctrine Series Becomes 1 Canonical Paper + 19 Specialist Papers with Unique Evidence

Cut the spine. Sharpen the proof. Add the limitations. Let each volume earn its own ten.

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RESPONSE TO EXTERNAL AUDIT OF VOLS 01-09 · EXTRAPOLATED v4.0 SPEC FOR VOLS 10-20

OPENING Acknowledging the Audit, in Full

The reviewer's verdict — strong, but templated — is accepted on the merits. This document is the structured response.

The audit's central claim is correct: the 20-volume series shares too much spine. The same Tri-Layer doctrine, the same proof-chain, the same AI-BOM, the same threat model, the same KPI tables, the same case-study uplifts, and the same 'directional/composite' caveats appear in too many volumes. That is a brand-coherence achievement; it is also the reason the series scores 8.8/10 instead of 10/10. The remedy is structural, not cosmetic.

The Five Structural Moves

Move 1 — Promote the Spine	Lift the Tri-Layer Doctrine, AI-BOM schema, NHI/OWASP-ASI mapping, PQC migration table, signed-audit-log JSON, and the standardised proof-chain into Vol 00 (the new 'Master Companion' / Doctrine Hardening Manifest already shipped). Every other volume references Vol 00 by manifest SHA rather than reprinting the spine.
Move 2 — Specialise the Body	Each of Vols 01-20 keeps a 4-page common header (problem, framework headline, board promise, executive summary) and a 4-page common closing (commercial, references). The remaining ~25 pages MUST be unique evidence: data, taxonomy, code, decision matrices, jurisdictional comparators, error rates, ablation, expert testimony patterns. No exceptions.
Move 3 — Replace 'Directional' with Tiered Evidence	Introduce a four-tier evidence label on every numeric claim: [A] Audited (independent assurance opinion attached); [B] Bench-Verified (replicable test rig in repo); [C] Bounded Estimate (with sensitivity range); [D] Directional (narrative only, no number). No headline metric may be cited at Tier D.
Move 4 — Mandate a Limitations Section	Every volume ships a Section 'Where This Framework Does Not Apply', covering: (i) regulatory boundary conditions, (ii) data-quality prerequisites, (iii) institutional maturity floor, (iv) failure modes when assumptions break, (v) explicit out-of-scope. This converts honesty from weakness into credibility.
Move 5 — Bespoke Visual Per Volume	Each volume adopts one signature visual: a decision tree (TRIAGE), a timing fishbone (CLOCK), a confusion matrix (TRIAGE), a delegation graph (AXION), an evidence chain-of-custody diagram (VERDICT), a residency lattice (BRIDGE), a regulator overlay (ATLAS). Master spine visuals appear once in Vol 00; never

	reprinted.

Net effect: the series stops looking like a doctrine franchise and starts reading as one canonical Atlas plus nineteen specialist monographs.

EVIDENCE TIERS The Four-Tier Numeric Discipline

Every metric in v4.0 is tagged with one of four tiers. This is the single most important upgrade.

[A] Audited	Independent assurance opinion attached (ISAE 3000 / SOC 2 Type II / ISO 42001 surveillance). Auditor name, opinion date, scope letter, and report SHA published. Permitted in headline claims.
[B] Bench-Verified	Replicable test rig in the doctrine-as-code repo. Inputs, fixtures, expected outputs, version pin. CI executes nightly; result published with build SHA. Permitted in body claims.
[C] Bounded Estimate	Estimate with explicit assumptions, sensitivity range (low/median/high), and back-test note. Method memo published. Permitted in body claims; never in headline.
[D] Directional	Narrative only, no numeric claim. Phrases like 'order of magnitude', 'materially', 'significantly' are permitted. Numeric values forbidden.

Hard rule: every numeric claim in v4.0 carries an inline evidence tag, e.g., '[B] 27% latency reduction' or '[C] 18-32% premium uplift (median 23%)'. Untagged numbers are blockers in CI.

COPY-EDIT Diff: From 'for translate' to 'to translate'

The reviewer noted constructions like 'for translate', 'for convert'. Below is the canonical diff.

'for translate' → 'to translate'	Verb-after-purpose constructions. Replace 'for + bare-verb' with 'to + verb' or '+ verbal noun' (e.g., 'for translation').
'for convert' → 'to convert'	Same family. CI grep 'for (translate|convert|collapse|deploy|make|enable|reduce|create|operate|design)' MUST return zero hits.
'for collapse' → 'to collapse'	Same family.
'for deploy' → 'to deploy'	Same family.
'for make' → 'to make'	Same family. Prefer the active verb (e.g., 'to deliver', 'to build').
Headline polish	All H1 headlines pass through Hemingway-style cut: max 12 words, no compound predicate, no unnecessary article. CI rule enforces.
Abstracts	Every abstract = exactly 4 paragraphs: (1) the problem in one sentence, (2) the framework in one sentence, (3) the evidence tier and metric, (4) the board promise. Maximum 220 words.

PER-VOLUME PLAN v4.0 Differentiation Specification — Vols 01-20

For each volume: audit verdict, identified gaps, mandated unique evidence, bespoke visual, limitations section, evidence tier, regulatory anchors.

VOL 01 From Regulation to Runtime — SHIELD Audit: 8.9/10

Audit Verdict	One of the strongest in the set. Clear thesis, sharp board promise, good operating-system framing. SHIELD construct memorable and commercially useful.
Identified Gaps (per reviewer)	Too much shared boilerplate; evidence still directional; limited unique validation data versus the rest of the series.
v4.0 Unique Evidence (mandated)	Add one [A] real DOJ-Final-Rule deployment benchmark (live tenant, audited); one [A] anonymised audited case attached as evidence pack; one Annexe mapping each DOJ 28 CFR 202 sub-clause to a production OPA/Rego control test ID with its CI pass-history.
v4.0 Bespoke Visual	DOJ-Clause-to-Control matrix (sankey) — each 28 CFR 202 sub-clause flowing to one or more OPA policy IDs, with CI pass-rate badges.
v4.0 Limitations Section	Where SHIELD does NOT apply: bulk personal data outside DOJ Final Rule scope, M&A pre-close datasets without rule-set manifest, agentic deployments without principal propagation already enforced.
v4.0 Evidence Tier Profile	Headline metrics: [A] Audited only. Body: [A] or [B]. No [C] in this volume.
Regulatory Anchors (clause-level)	DOJ 28 CFR §§202.201-202.405 (clause-level mapping); EO 14117; CISA Security Requirements (Oct 2024).

VOL 02 The Sovereign Data Shield — FORTRESS Audit: 8.8/10

Audit Verdict	Strong subject-market fit. FORTRESS is well positioned around DOJ-grade enforcement and has good board-level defensibility.
Identified Gaps (per reviewer)	Overlaps heavily with Vol 01 in architecture, proof, metrics, and implementation pattern.
v4.0 Unique Evidence (mandated)	Make this the definitive DOJ paper: clause-by-clause operational map (28 CFR 202 part-by-part); a complete subpoena response evidence pack template (with redaction macros); concrete worked examples of prohibited vs restricted transaction handling for the six listed transaction classes.
v4.0 Bespoke Visual	Subpoena Response Evidence Pack — a 12-document checklist visualised as a chain-of-custody diagram from

	receipt to production.
v4.0 Limitations Section	Where FORTRESS does NOT apply: foreign-to-foreign transactions outside U.S. jurisdiction; transactions subject to a CFIUS mitigation agreement that pre-empts the Final Rule; data subject to attorney-client privilege.
v4.0 Evidence Tier Profile	Operational map: [A]. Subpoena pack: [B]. Worked examples: [C] with sensitivity range.
Regulatory Anchors (clause-level)	DOJ 28 CFR §§202.201-202.602 (clause-by-clause); CISA Security Requirements §§1-7; EO 14117 §§1-6; Federal Rules of Civil Procedure 45 (subpoena).

VOL 03 The Governance Premium — PRICED	Audit: 8.7/10
Audit Verdict	Strong commercial angle. Probably the best monetisation narrative in the set.
Identified Gaps (per reviewer)	Pricing logic compelling but more asserted than demonstrated. Needs harder commercial math.
v4.0 Unique Evidence (mandated)	Closed-form pricing formula (premium = f(ARR, jurisdiction tier, sensitivity tier, AI exposure, incident multiplier)); deal sensitivity analysis with tornado chart on each input; sample underwriting logic with reinsurer-grade actuarial framing; before/after contract economics from at least three anonymised real deals (revenue, gross margin, contract length, indemnification cap).
v4.0 Bespoke Visual	Tornado Sensitivity Chart — per-input contribution to premium, with confidence intervals from the back-test.
v4.0 Limitations Section	Where PRICED does NOT apply: short-cycle transactional sales (<$50k), commodity products without governance differentiation, jurisdictions without enforceable indemnification frameworks.
v4.0 Evidence Tier Profile	Pricing formula: [B]. Back-test: [A]. Real-deal economics: [A] anonymised. Tornado: [B].
Regulatory Anchors (clause-level)	FASB ASC 606; IFRS 15; SOX §404; SEC Reg G; ICC Model International Sale Contract.

VOL 04 The Identity Control Plane Doctrine — AXION	Audit: 8.9/10
Audit Verdict	Very strong and current. Identity-as-control-plane is a concrete design stance, not just branding.
Identified Gaps (per reviewer)	Inherits too much of the common doctrine scaffold; needs more unique identity architecture depth.
v4.0 Unique Evidence (mandated)	Comparative architecture patterns for SPIFFE/SPIRE,

	OIDC step-up, AWS IAM Identity Center, GCP Workload Identity Federation, Okta + cross-cloud federation; delegated agent-identity flows with TTL/revocation matrix; failure-mode catalogue from real enterprise deployments (token replay, scope creep, principal propagation gaps); reference Terraform modules for each pattern.
v4.0 Bespoke Visual	Delegation Graph — principal → agent → tool, with TTL/scope/revocation overlays, rendered as a layered DAG with each edge annotated by signing algorithm.
v4.0 Limitations Section	Where AXION does NOT apply: legacy systems unable to consume OIDC/JWT (mainframe COBOL, certain SCADA); air-gapped environments without federation backplane; vendors not offering principal-propagation APIs.
v4.0 Evidence Tier Profile	Pattern comparator: [B]. Failure-mode catalogue: [A] from incident corpus. Terraform modules: [B] (in repo).
Regulatory Anchors (clause-level)	OWASP ASI-03; NIST SP 800-204C; OIDC Core 1.0; IETF RFC 9068 (OAuth 2.0 JWT); SPIFFE Spec.

VOL 05 14,400 Seconds to Comply — CLOCK	Audit: 8.8/10
Audit Verdict	Strong operational urgency and a crisp executive hook. Very board-readable.
Identified Gaps (per reviewer)	Needs a more distinctive incident-response body instead of reusing the broader doctrine stack.
v4.0 Unique Evidence (mandated)	Minute-by-minute timeline simulations for three scenarios (data exfiltration, model poisoning, third-party compromise); regulator-notification variants by jurisdiction (EU NIS2 24h/72h, SEC Item 1.05 4-day, HIPAA 60-day, GDPR 72h, FCA 'as soon as practicable') as side-by-side templates; escalation trees with named-role triggers and SLAs; evidence-preservation runbooks with hash-anchoring at every step.
v4.0 Bespoke Visual	Timing Fishbone — each branch a regulator, each rib a notification milestone, with countdown clocks against the 14,400s budget.
v4.0 Limitations Section	Where CLOCK does NOT apply: incidents below the regulatory disclosure threshold; matters under attorney-client privilege; incidents at vendors not bound by notification SLAs; jurisdictions without statutory notification windows.
v4.0 Evidence Tier Profile	Timeline sims: [B] (replayable). Jurisdiction templates: [A] (legal-reviewed). Escalation trees: [B].
Regulatory Anchors (clause-level)	NIS2 Art. 23; SEC Reg S-K Item 1.05; HIPAA Breach Notification (45 CFR §164.404); GDPR Art. 33; FCA SUP 15.3.

VOL 06 AI Copilots for Compliance — COPILOT	Audit: 8.6/10
Audit Verdict	Commercially relevant and readable. Good operating-model framing for privacy automation.
Identified Gaps (per reviewer)	This one most needs paper-specific proof. '60% replaced' / '82% auto-drafted' / '4x throughput' need stronger substantiation.
v4.0 Unique Evidence (mandated)	Task taxonomy: 47 privacy-ops tasks classified by automatability (fully automatable / human-supervised / human-only / never-automate) with reasoning per class; per-task error-rate table with FP%, FN%, n, evaluation period, and gold-standard methodology; explicit human-in-the-loop checkpoints per workflow; cost-per-task before/after; the 'never automate' list with regulatory citations.
v4.0 Bespoke Visual	Confusion Matrix Grid — one per top-10 task, with error rates, evaluation set size, and rebuttal patterns.

v4.0 Limitations Section	Where COPILOT does NOT apply: novel regulator interpretations without precedent; cross-border DSRs requiring legal opinion; matters with active litigation hold; high-context judgment calls on lawful-basis selection.
v4.0 Evidence Tier Profile	Task-level metrics: [A] from production telemetry. Throughput claims: [B]. Cost-per-task: [C] with sensitivity range.
Regulatory Anchors (clause-level)	GDPR Art. 22 (automated decisions); EU AI Act Art. 14 (human oversight); ICO Guidance on AI; NIST AI RMF Manage 2.2.

VOL 07 AI That Survives Court — VERDICT Audit: 9.0/10

Audit Verdict	One of the best titles and best-positioned papers. The legal defensibility angle is differentiated and high value.
Identified Gaps (per reviewer)	Leans too much on shared doctrine sections instead of going deeper into litigation method, evidentiary standards, and adversarial challenge.
v4.0 Unique Evidence (mandated)	Daubert/FRE 702 challenge scenarios with worked attack-and-rebuttal: 'reliability of methodology', 'qualifications of witness', 'fit between method and case', 'general acceptance'; evidentiary attack trees per challenge class; sample expert cross-examination transcripts (illustrative); rebuttal design principles; chain-of-custody admissibility checklist; spoliation defence pattern.
v4.0 Bespoke Visual	Evidence Chain-of-Custody Diagram — from sensor to court exhibit, with signing, hashing, anchoring, and witness-roles annotated at each transition.
v4.0 Limitations Section	Where VERDICT does NOT apply: jurisdictions without Daubert-equivalent gatekeeping (some civil-law courts); criminal proceedings with stricter spoliation rules; matters in arbitration with privately agreed evidentiary standards.
v4.0 Evidence Tier Profile	Methodology: [A] expert-reviewed. Attack trees: [B]. Cross-exam transcripts: [D] illustrative.
Regulatory Anchors (clause-level)	Daubert v. Merrell Dow Pharms.; FRE 702; UK CPR Part 35; eIDAS Reg. Art. 25; FRCP 26(a)(2).

VOL 08 Clinical Data Sovereignty — AEGIS Audit: 8.9/10

Audit Verdict	Strong cross-jurisdiction framing. AEGIS feels relevant, broad, and commercially serious.

Identified Gaps (per reviewer)	Breadth is high, but uniqueness per section is lower than the title promises. Needs more multi-jurisdiction conflict resolution detail.
v4.0 Unique Evidence (mandated)	Concrete data-flow diagrams for US/EU/UK/APAC clinical trial scenarios; regulator-by-regulator control arbitration matrix (when FDA differs from EMA differs from MHRA differs from PMDA); conflict-of-laws decision tree (HIPAA vs GDPR; CCPA vs GDPR; PIPL vs GDPR); transfer-mechanism per pair; KMS regional key-strategy reference architecture; six worked case studies of jurisdictional conflict resolution.
v4.0 Bespoke Visual	Residency Lattice — 8 jurisdictions × 4 sensitivity tiers, with residency obligations and transfer mechanisms colour-coded; clickable in HTML companion.
v4.0 Limitations Section	Where AEGIS does NOT apply: investigator-led studies outside sponsor data control; research using only fully-anonymised datasets meeting Recital 26; jurisdictions without privacy law (rare but extant).
v4.0 Evidence Tier Profile	Data-flow diagrams: [B]. Conflict-of-laws matrix: [A] legal-reviewed. Case studies: [A] anonymised audited.
Regulatory Anchors (clause-level)	GDPR Art. 44-49; HIPAA §§164.500-164.534; UK DPA 2018 Sched. 2; PIPL Art. 38; APPI cross-border rules; FDA 21 CFR 312.

VOL 09 Prohibited vs Restricted — TRIAGE	Audit: 9.1/10
Audit Verdict	Probably the strongest of the papers fully extracted. Thesis is narrow, practical, and defensible. TRIAGE feels closest to a truly ownable white paper.
Identified Gaps (per reviewer)	Repeats common series scaffolding and would benefit from transparent classifier methodology.
v4.0 Unique Evidence (mandated)	Decision taxonomy with full classifier specification; published confusion matrix with FP/FN per class; test-corpus design (n, source, labelling protocol, inter-rater agreement κ); edge-case handling catalogue; ablation analysis showing contribution of each feature; calibration curve; explanation method (counterfactuals).
v4.0 Bespoke Visual	Confusion Matrix + Calibration Plot — published with 95% CIs and per-class precision/recall, plus calibration of the classifier confidence to actual outcome.
v4.0 Limitations Section	Where TRIAGE does NOT apply: novel transaction patterns absent from the training corpus; transactions involving classified data (where sub-classifications are themselves classified); multi-party transactions where role assignment is itself disputed.
v4.0 Evidence Tier Profile	Confusion matrix: [A] external evaluation. Calibration: [B]. Ablation: [B]. Edge cases: [A] expert-curated.
Regulatory Anchors (clause-level)	DOJ 28 CFR §§202.401-202.405; EO 14117 §§3-4; CISA Security Requirements §§1-7.

VOL 10 The 36-Hour Compliance Model — VELOCITY	Audit: 8.7/10 (extrapolated)
Audit Verdict	Tight executive hook on time-to-decision. Operationally credible. Pattern-matches the strengths of CLOCK and the weaknesses of shared spine.
Identified Gaps (per reviewer)	Reuses spine architecture; '36-hour' headline lacks per-stage decomposition; 'velocity' framing not yet quantified against industry baseline.
v4.0 Unique Evidence (mandated)	Per-stage decomposition: 36h budget split across 6 stages with target+P95+max for each; comparative benchmark vs ad-hoc-baseline (n>100 decisions, [A] audited); back-pressure analysis when budget breached; queueing-theory-grounded capacity model.
v4.0 Bespoke Visual	Velocity Burndown Chart — 36-hour budget across stages, with P50/P95/max and breach reasons.
v4.0 Limitations Section	Where VELOCITY does NOT apply: matters requiring statutory consultation periods (e.g., works-council co-determination); cross-border novel-question matters

	needing external counsel; matters under privilege requiring serial review.
v4.0 Evidence Tier Profile	Per-stage budget: [A]. Capacity model: [B]. Benchmark: [A] audited.
Regulatory Anchors (clause-level)	EU AI Act Art. 14; NIS2 Art. 21(2)(b); GDPR Art. 12(3); ISO/IEC 27035.

VOL 11	**The Agentic AI Attack Surface — SENTINEL**	**Audit: 8.8/10 (extrapolated)**
Audit Verdict	Topical, current, well-positioned to OWASP ASI Top 10. Threat model rendition is strong.
Identified Gaps (per reviewer)	Threat model section reused from spine; per-attack containment evidence not paper-specific; lacks adversarial telemetry from real deployments.
v4.0 Unique Evidence (mandated)	Per-attack-class containment library with detection rule, contains, eradicates, and recovers; production telemetry from a calibrated honeypot fleet; MITRE ATLAS technique-by-technique mapping with detection efficacy %; red-team finding catalogue across 12 quarters with severity distribution.
v4.0 Bespoke Visual	Attack-Surface Heat Map — agent capability × surface × ASI class, coloured by detection efficacy.
v4.0 Limitations Section	Where SENTINEL does NOT apply: closed-loop agents without external tool access; offline batch agents without realtime principal context; agents operating exclusively in simulator environments.
v4.0 Evidence Tier Profile	Telemetry: [A] from honeypot. Detection efficacy: [B]. ATLAS mapping: [A] external review.
Regulatory Anchors (clause-level)	OWASP ASI-01 to ASI-10; MITRE ATLAS; NIST AI 600-1 §3.2; ENISA AI Threat Landscape.

VOL 12	**From DPIA to Decision Engine — DECIDE**	**Audit: 8.6/10 (extrapolated)**
Audit Verdict	Productive reframing of DPIA as an executable artefact. Strong commercial appeal.
Identified Gaps (per reviewer)	Conversion from prose DPIA to machine-readable artefact illustrated but not benchmarked; rule-engine performance not characterised.
v4.0 Unique Evidence (mandated)	JSON-Schema-defined DPIA artefact with full ICO/EDPB Article 35 field mapping; rule-engine throughput benchmark (decisions/sec); accuracy on a 500-case test corpus; before/after operational cost; decision-explainability formats.
v4.0 Bespoke Visual	DPIA Decision-Engine Trace — a single DPIA's path

	through the rule engine, rule-by-rule, with timing and outcome per rule.
v4.0 Limitations Section	Where DECIDE does NOT apply: novel processing operations without precedent; FRIA-required cases (EU AI Act Art. 27) where the DPIA is one input among many; cross-border processing requiring lead-authority designation under GDPR Art. 56.
v4.0 Evidence Tier Profile	Throughput: [B]. Accuracy: [A] external evaluation. Cost: [C] with sensitivity.
Regulatory Anchors (clause-level)	GDPR Art. 35; EU AI Act Art. 27; ICO 'When do we need to do a DPIA?' guidance; EDPB Guidelines 4/2019.

VOL 13 The Frictionless Laboratory — PRISM	Audit: 8.7/10 (extrapolated)
Audit Verdict	Compelling 'science-preserving controls' framing. Resonates with R&D scientists.
Identified Gaps (per reviewer)	Friction-reduction claims directional; lacks a developer-experience metric; over-relies on common spine.
v4.0 Unique Evidence (mandated)	Time-on-task study before/after PRISM controls (n>50 scientists, IRB-approved methodology); developer-experience survey (NPS, time-to-first-result); friction-incident catalogue; per-control friction tax measurement; an 'invisible-by-default, evident-on-demand' design principles section.
v4.0 Bespoke Visual	Friction Tax Bar Chart — control-by-control friction cost in scientist-minutes-per-task, before/after PRISM.
v4.0 Limitations Section	Where PRISM does NOT apply: GxP-validated workflows where friction is the regulatory point; classified research with mandatory air-gap; first-in-human protocols requiring serial human review.
v4.0 Evidence Tier Profile	Time-on-task: [A] IRB-approved. NPS: [B]. Per-control friction tax: [B].
Regulatory Anchors (clause-level)	GDPR Art. 25; HIPAA §164.530; ICH GCP E6(R3); 21 CFR Part 11.

VOL 14 Zero Transfer Is a Myth — BRIDGE	Audit: 8.8/10 (extrapolated)
Audit Verdict	Realist counter-narrative to 'zero data leaves'. Important corrective.
Identified Gaps (per reviewer)	Argument is sharp; supporting transfer-impact-assessment (TIA) library not yet productised.
v4.0 Unique Evidence (mandated)	Productised TIA library covering EU SCCs (2021/914), UK IDTA, India DPDPA cross-border rules, China PIPL Standard Contract, with per-pair worked TIA and supplementary measures matrix; legal-reviewer attestation per template; case study of a refused-transfer scenario and its remediation.
v4.0 Bespoke Visual	Transfer Mechanism Decision Tree — origin × destination × data class → mechanism + supplementary measures.
v4.0 Limitations Section	Where BRIDGE does NOT apply: data subject to a transfer prohibition (e.g., Russia counter-sanctions); transfers under EU-US Data Privacy Framework where the framework is suspended; transfers requiring sectoral-regulator consent (telecoms, health) absent

	that consent.
v4.0 Evidence Tier Profile	TIA library: [A] legal-reviewed. Decision tree: [B]. Refused-transfer case: [A] anonymised.
Regulatory Anchors (clause-level)	GDPR Art. 44-49; SCCs (2021/914); UK IDTA; PIPL Art. 38; Schrems II (C-311/18).

VOL 15 The Global Transfer Impact Doctrine — ATLAS Audit: 8.7/10 (extrapolated)

Audit Verdict	Strong on geopolitical layering. Pairs naturally with BRIDGE.
Identified Gaps (per reviewer)	Risk overlay across regulators is asserted more than measured; lacks a shock-test.
v4.0 Unique Evidence (mandated)	Global Transfer Impact scoreboard: per-corridor, per-data-class risk score with method memo; shock-test scenarios (Schrems III hypothetical; EU-US DPF suspension; PIPL enforcement spike); regulator-by-regulator overlay heat map; per-corridor remediation cost estimator.
v4.0 Bespoke Visual	Geopolitical Risk Overlay Map — world map, per-corridor risk colour, hover-revealed driver.
v4.0 Limitations Section	Where ATLAS does NOT apply: intra-jurisdictional flows without cross-border element; transfers under sectoral exemptions (e.g., aviation safety data); data already in the public domain.
v4.0 Evidence Tier Profile	Score method: [B]. Shock-tests: [C] bounded. Cost estimator: [C] with sensitivity.
Regulatory Anchors (clause-level)	GDPR Art. 44-49; Schrems II; EU-US DPF; UK IDTA; FedRAMP cross-border addenda.

VOL 16 The Practitioner's Manifesto — FORGE Audit: 8.5/10 (extrapolated)

Audit Verdict	Editorial voice strong. Anti-advisory thesis lands well with operators.
Identified Gaps (per reviewer)	Manifesto risks reading as polemic without bench-tested artefacts.
v4.0 Unique Evidence (mandated)	Side-by-side artefact comparison: 'advisory deliverable' vs 'doctrine artefact' across 12 categories; a 'forge audit' methodology any practitioner can run on their own programme; commitments register (signed quarterly by the Council); a 'reasons for refusal' template (when the Council refuses an executive ask).
v4.0 Bespoke Visual	Advisory-vs-Doctrine Artefact Diff — 12-row visual diff of what each model actually delivers.

v4.0 Limitations Section	Where FORGE does NOT apply: pre-revenue programmes lacking a Council; research-only contexts without operational stakes; engagements explicitly bounded to advisory under MSA.
v4.0 Evidence Tier Profile	Artefact diff: [B]. Methodology: [A] independently-tested. Commitments register: [A].
Regulatory Anchors (clause-level)	ISO/IEC 42001 §6; UK CGC Provision 28; Caremark v. Allis-Chalmers.

VOL 17 The Data Risk Engine — NEXUS Audit: 8.8/10 (extrapolated)	
Audit Verdict	Engine framing supports the spine elsewhere. Good fit with audit-by-API.
Identified Gaps (per reviewer)	Engine internals not exposed; performance characteristics not published.
v4.0 Unique Evidence (mandated)	Engine architecture diagram with throughput, latency P50/P95/P99 from production; rule-evaluation cost model; queryable evidence-graph spec; per-rule evaluation telemetry; capacity planner spreadsheet.
v4.0 Bespoke Visual	Engine Throughput Curve — concurrency × throughput × latency, with knee-point annotated.
v4.0 Limitations Section	Where NEXUS does NOT apply: realtime sub-100ms decisions where the engine is the wrong hop; airgapped rule sets requiring local-only evaluation; matters requiring human-only judgment by regulation.
v4.0 Evidence Tier Profile	Throughput: [B]. Cost model: [C]. Telemetry: [A] from production.
Regulatory Anchors (clause-level)	EU AI Act Art. 12; GDPR Art. 30; HIPAA §164.312(b); SOC 2 CC7.

VOL 18 R&D Data Governance in the Age of Geopolitics — COMPASS Audit: 8.7/10 (extrapolated)	
Audit Verdict	Strategic framing landing among CRO/CDPO audience. Geopolitical lens differentiated.
Identified Gaps (per reviewer)	Geopolitical lens needs operational kit; strategy-implementation gap.
v4.0 Unique Evidence (mandated)	Geopolitical risk register template (per-corridor, per-asset, per-quarter); board-pack template for geopolitical brief; scenario-planning playbook (3 scenarios × 4 horizons); geopolitical KPIs dashboard; trade-restriction alerting workflow (BIS Entity List, OFAC, EU dual-use, UK ECJU).
v4.0 Bespoke Visual	Geopolitical Strategy-to-Operations Bridge — strategic theme → policy → control → telemetry → KPI.
v4.0 Limitations Section	Where COMPASS does NOT apply: domestic-only programmes; classified programmes under sovereign control; matters subject to government-to-government MOUs.
v4.0 Evidence Tier Profile	Risk register: [A]. Scenario playbook: [B]. KPIs: [A].
Regulatory Anchors (clause-level)	EU Dual-Use Reg. (2021/821); BIS EAR; OFAC sanctions programmes; UK ECJU; UN sanctions.

VOL 19 The Zero Hour Doctrine — AEON	Audit: 8.6/10 (extrapolated)
Audit Verdict	Crisis framing important. Pairs with CLOCK and SENTINEL.
Identified Gaps (per reviewer)	'Zero hour' rhetoric strong; per-failure-mode evidence repository missing.
v4.0 Unique Evidence (mandated)	Failure-mode catalogue for algorithmic systems (12 modes with detection signal, blast radius, containment); pre-incident readiness assessment; pre-mortem template; 24-hour public statement library (per-incident-class); after-action review (AAR) protocol.
v4.0 Bespoke Visual	Pre-Mortem Tree — failure mode → blast radius → containment → recovery → public posture.
v4.0 Limitations Section	Where AEON does NOT apply: incidents below disclosure threshold; matters under privilege; situations where notification itself is the harm (active intrusion).
v4.0 Evidence Tier Profile	Failure-mode catalogue: [A] cross-industry. AAR protocol: [B]. Statement library: [A] legal-reviewed.
Regulatory Anchors (clause-level)	SEC Reg S-K Item 1.05; NIS2 Art. 23; HIPAA Breach Rule; GDPR Art. 33.

VOL 20 The Unified Regulatory Framework — ORACLE	Audit: 8.9/10 (extrapolated)
Audit Verdict	Capstone synthesis. Well-suited to absorb cross-volume mappings.
Identified Gaps (per reviewer)	As capstone, repeats more spine than any other; needs to become the integration document.
v4.0 Unique Evidence (mandated)	Cross-regulator equivalence map (DOJ × EU AI Act × GDPR × HIPAA × NIS2 × DORA × PIPL × APPI × LGPD); single audit programme that satisfies all listed regulators in one cycle; regulator-engagement playbook (per-regulator preferred channels, response patterns); a 'one fact, multiple regulators' worked example.
v4.0 Bespoke Visual	Cross-Regulator Equivalence Lattice — regulator × control class × equivalence rating, with 'audited together' bands shaded.
v4.0 Limitations Section	Where ORACLE does NOT apply: jurisdictions in active divergence (e.g., active enforcement of conflicting rules); programmes too narrow to need cross-mapping; matters with binding lead-authority designation.

v4.0 Evidence Tier Profile	Equivalence map: [A] legal-reviewed. Single audit: [A] external assurance. Playbook: [B].
Regulatory Anchors (clause-level)	DOJ 28 CFR 202; EU AI Act; GDPR; HIPAA; NIS2; DORA; PIPL; APPI; LGPD.

COMMITMENT REGISTER What Will Change in v4.0 — Series-Wide

Concrete deltas the Council commits to for the v4.0 release of every volume.

Spine extraction	Tri-Layer / AI-BOM / NHI / OWASP-ASI / PQC / Audit-Log JSON / Proof-Chain — present in Vol 00 only; cited by manifest SHA from Vols 01-20.
Page budget per volume	Common header 4 pages + unique body ~25 pages + common closing 4 pages. Hard cap 35 pages.
Evidence tier discipline	Every numeric claim tagged [A]/[B]/[C]/[D]. Untagged numerics fail CI. Headlines admit [A] only.
Limitations section	Mandatory in every volume. Five sub-headings: regulatory boundary, data prerequisites, maturity floor, failure modes, out-of-scope.
Bespoke visual	One signature visual per volume (see per-volume table). Spine visuals appear once in Vol 00.
Inline citations	Every major claim carries an inline citation marker (e.g., [DOJ-202.401]) resolved in references.
External validation	Each volume includes at least one of: legal-reviewer note, practitioner interview excerpt, peer commentary, regulator-mapping check.
Copy-edit pass	Two-stage: rule-based (CI grep blockers) + Hemingway-style human edit. Abstracts capped at 220 words.
Case-study uniqueness	No case study may appear in more than one volume. Case-study registry maintained in /doctrine-as-code/case-studies/registry.yaml.
Reproducibility appendix	Per-volume reproducibility appendix lists exact data, code, and config used for every numeric claim, with version pins.

CLOSING What 10/10 Looks Like

The objective standard, stated in one paragraph.

A volume earns 10/10 when an external reviewer, given only that volume and the doctrine-as-code repository, can: (i) reproduce every numeric claim from the named fixtures within one working day; (ii) point to the exact regulatory clause each control satisfies and find the matching control test in CI; (iii) name the conditions under which the framework would not apply, drawn from that volume's Limitations section; (iv) recognise the volume's signature visual without reference to any other volume; (v) verify that no headline number relies on Tier-D evidence. When all five tests pass for nineteen specialist volumes plus the canonical Vol 00, the series is institution-defining. There is no other test.

Sequencing

Sprint 1 (Weeks 1-4)	Vol 00 (Hardening Manifest) shipped. Doctrine-as-Code repo seeded. Spine extraction policy in CI. Copy-edit grep rules merged.
Sprint 2 (Weeks 5-10)	Vols 01, 02, 09 (DOJ trio) reissued at v4.0 with audited DOJ benchmark, subpoena pack, classifier methodology.
Sprint 3 (Weeks 11-16)	Vols 04, 05, 11 (Identity / Crisis / Attack Surface) reissued at v4.0 with delegation graph, timing fishbone, attack-surface heat map.
Sprint 4 (Weeks 17-22)	Vols 06, 07, 12, 13 (Copilot / VERDICT / DECIDE / PRISM) reissued at v4.0 with confusion matrices, Daubert scenarios, decision traces, friction-tax studies.
Sprint 5 (Weeks 23-28)	Vols 03, 08, 14, 15 (Commercial / Clinical Sov / Transfer pair) reissued at v4.0 with tornado, residency lattice, transfer trees, geopolitical overlay.
Sprint 6 (Weeks 29-34)	Vols 16, 17, 18, 19, 20 (FORGE / NEXUS / COMPASS / AEON / ORACLE) reissued at v4.0 with artefact diff, throughput curve, geopolitical bridge, pre-mortem tree, equivalence lattice.
Final review	External reviewer re-runs the audit against v4.0. Target: every volume ≥9.5/10; series average ≥9.7/10.

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